

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3448504
<b>Application Number:</b>	10767699
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5670
<b>Title of Invention:</b>	System and method for controlling the disclosure of a trading order
<b>First Named Inventor/Applicant Name:</b>	Thomas J. Daley
<b>Customer Number:</b>	63710
<b>Filer:</b>	Samir A Bhavsar/Christine Fortier
<b>Filer Authorized By:</b>	Samir A Bhavsar
<b>Attorney Docket Number:</b>	04-6174 (069547.0174)
<b>Receipt Date:</b>	12-JUN-2008
<b>Filing Date:</b>	29-JAN-2004
<b>Time Stamp:</b>	17:31:49
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	11675
Deposit Account	020384
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	IDSLetter.pdf	48186 28bfd894f76a03254eacb0150c0b98a8 441656f2	no	2

**Warnings:****Information:**

2	Information Disclosure Statement (IDS) Filed	IDS.pdf	159862 50babebccb6ce242a59ebc095066d282 4524d036	no	3
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**Warnings:****Information:**

This is not an USPTO supplied IDS fillable form

3	NPL Documents	2.pdf	211339 8fede564d77bb6adf58f7947f610df274e 7634c8	no	5
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**Warnings:****Information:**

4	NPL Documents	3.pdf	231181 f861bdcd996d55da684b3a0a048fd89 a6f6b63f	no	5
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**Warnings:****Information:**

5	NPL Documents	4.pdf	718945 46dd7646b057050d669aactbeb7fb0bf3 5f62e7a	no	19
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**Warnings:****Information:**

6	NPL Documents	5.pdf	1688287 ddd34837f14578861ab7d5b88902e567 8d69f545	no	48
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**Warnings:****Information:**

7	NPL Documents	6.pdf	1717172 d06a184ee76718bf88958ba6b919204 53271ae2	no	49
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**Warnings:****Information:**

8	NPL Documents	7.pdf	1409778 a9b98e5ab8eebc90dc7ce0f6332d507 7b8e15f2	no	38
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**Warnings:****Information:**

9	NPL Documents	8.pdf	404248 6705b27dd31df9aa95247c8a1b07dea6 8c2b218b	no	14
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**Warnings:**

**Information:**

10	Fee Worksheet (PTO-06)	fee-info.pdf	8191 de98d74ab42e127793a39602eb86e4c b378e3aa3	no	2
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**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	6597189
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.